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ERC, Epoch-of-Taurus 101043302



Self-gravity processes in protoplanetary disks

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ERC Consolidator Grant - Early phases of planetary birth sites: environmental context and interstellar inheritance





Established by the European Commission ERC, Epoch-of-Taurus,



MHD and turbulence

Head: Dr. Oliver Gressel

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PhD with DFG grant: Eleftheria Sarafidou

Project:

Global models of protoplanetary disks with all non ideal MHD effects taken into account and photoevaporation from X-rays from the central star.

Paper on ArXiv !



Protoplanetary disk with MHD and photoevaporative wind (green area). The yellow lines represent the velocity streamlines of the wind, while the white are the magnetic field lines.

Outline

I. Introduction: planet formation assisted by vortices: a fundamental problem

II. The vertical stratification of bi-fluid (gas and dust) selfgravitating protoplanetary discs

III. Self-gravity in 2D: smoothing length discarded and exact 2D kernel



Core accretion model in a nutshell



4. Giant planets formation: gas envelope capture



4. Giant planets formation: gas envelope capture



Blum, 2006

 \sim 100 km planetesimals in \sim 10 000 yr

Credits: NASA/ESA/CSA, Jupiter

ERS Team

few ~ Myr

Core accretion model in a nutshell



Possible solution: anticyclonic vortices*

- Region of space where a flow is locally in rotation: $\omega = \vec{\nabla} \times \vec{v} \neq \vec{0}$
- Natural outcome of hydrodynamical instabilities: Rossby Wave Instability (RWI), baroclinic, Vertical shear instability, edge of the gap carved by a massive planet ...
- Long-lived structures (More than 1000 orbits around a star)
- Very efficient **dust traps** (local density increase x1000 in the vortex core)



Planetesimal formation ? Giant planet core formation ?

* or pressure bumps



Asymmetry observed at **0.3 AU** from HD163296 star by MATISSE

How vortices form ?

Boundaries of the dead <u>zone</u> Transition MRI active region (α-turbulent) and dead zone (inviscid)







 More instabilities forming vortices : baroclinic (subcritical and convectiveoverstability), vertical shear instability, zombie vortex instability etc.

<u>At the edges of</u>
 <u>a gap carved</u>
 <u>by a planet</u>

(Lin, 2012)

How vortices capture dust material?





St = 0.01 ; $r_p = 0.07$ cm ; $\rho_{bulk} = 0.8 \ g/cm^3$; Z = 0.001

2D Simulation (400 orbits) performed in Jean Zay cluster with RoSSBi3D

Self-gravity role

Self-gravity (SG) plays a key role :

- Cohesion of dust bind by gravity (planetesimal formation)
- Giant gas planet formation ?

Big problem

Despite favorable conditions (no dust feedback), huge dust-to-gas ratios, 2D simulations never showed a collapse.

Why ??? Programming problem ? Theory ?





How to prescribe SG in 2D (thin disc approximation) ?

Flat/Razor thin discs (H/r << 1)

Continuous overlap of infinitely flattened homoeiod shells (Binney & Tremaine 2008)

Direct summation, closed form for the potential (Durand 1953, Huré et al. 2008) Thin disc (H/r small but not 0)

Average vertically the 3D SG force in a thin disc.

(Li et al. 2009, Müller et al. 2012, Rendon Restrepo & Barge 2023)

Resulting averaged force acts in the midplane of the disc.

Curiosity: If Universe was 2D gravity $\propto 1/r$

How is computed SG in 2D simulations ?





(Flock et al. 2017)

$$\begin{bmatrix}
\rho = \rho(r, \theta, z) \\
P_{3D} = P_{3D}(r, \theta, z) \\
\vec{v}_{3D}
\end{bmatrix}$$

<u>Thin disc (2D) approximation ($^{z}/_{r}$ < 1)</u>



Credit: NASA/JPL-Caltech/D. Watson

Quantities are vertically averaged and particularly SG forces:

$$f_{SG} = -\int \rho \nabla \Psi_{SG,3D} \, dz \quad \text{with} \quad \Psi_{SG,3D} = -\int_{disc} \frac{G \,\rho(\mathbf{r'})}{\sqrt{||\mathbf{r} - \mathbf{r'}||}} \, d^3\mathbf{r'}$$

How to prescribe SG in 2D (thin disc approximation) ?

Plummer potential - Account vertical thickness (and avoid singularities):

$$\Psi_{Plumm}(\boldsymbol{r}) = -\iint_{disc} \frac{\Sigma(\boldsymbol{r}')}{\sqrt{|\boldsymbol{r} - \boldsymbol{r}'|^2 + \epsilon^2}} d^2 \boldsymbol{r}'$$

Smoothing length (SL), ϵ , considered as a free parameter but analytical work converged to:

$$\epsilon_g/H_g = 0.6 - 1.2$$

(Huré et al. 2009, 2011, 2015; Müller et al. 2012)

Rendon Restrepo & Barge 2023

 Mid/short range SG interaction underestimated by 100%
 A grav. collapse is impossible !

In agreement with removal of Newtonian behaviour in presence of softening (Adams et al. 1989: Hockney & Eastwood 2021; Young & Clarke 2015)

• How to account for dust ?

Rendon Restrepo & Barge 2023

- 1. Introduced a Space Varying smoothing length
- Generalized when dust present:
 2 additionnal SL

Error decreased by factor 200 at short distances !

Dust SG can be underestimated by factor ≲1000



 Authors rushed on dust stratification assuming it Gaussian

Goal: find the exact SG kernel for thin disc simulations.

Two-step process:

- Vertical hydrostatic equilibrium of the system
 Resulting stratification is utilised as an input for
- vertically averaging all forces

So first we need to find the **vertical profile** of a **selfgravitating** protoplanetary disc made of **gas and dust**

$$\partial_{zz}^2 \Phi_{disk} = 4\pi G \left(\rho_g + \rho_d \right)$$

$$\left(\begin{array}{c} c_g^2 \partial_z \ln\left(\rho_g\right) &= -\Omega_K^2 z - 2\pi G\left(\sigma_g + \sigma_d\right) \\ c_d^2 \partial_z \ln\left(\rho_d/\rho_g\right) &= -\Omega_K^2 z - 2\pi G\left(\sigma_g + \sigma_d\right) \end{array} \right)$$

Assumptions: Isothermal vertically, Only Gas

Massive disc (Strong SG) **Keplerian disc (No SG)** $c_g^2 \partial_z \ln\left(\rho_g\right) = -\Omega_K^2 z$ $c_a^2 \partial_z \ln\left(\rho_g\right) = -2\pi G \sigma_g$ where: $\sigma(r, z) = \int_{-\infty}^{z} \rho(r, z') dz'$ $\rho_g = \frac{\Sigma}{\sqrt{2\pi}H_o} \exp\left[-\frac{1}{2}\left(z/H_g\right)^2\right] \quad \Rightarrow \rho_g(\mathbf{r}, z) = \frac{\Sigma_g}{2Q_o H_o} \operatorname{sech}^2\left(\frac{z}{Q_g H_g}\right)$ with: $Q_g = \frac{c_g \Omega_K}{\pi G \Sigma_g}$ Toomre's parameter with: $H_q = c_q / \Omega_K$ (Spitzer 1942, Bertin & Lodato 1999) (Armitage 2015, 2022)

Assumptions: Isothermal vertically, Only Gas

General case: From Keplerian to massive discs

$$c_g^2 \partial_z \ln\left(\rho_g\right) = -\Omega_K^2 z - 2\pi G \sigma_g$$

Approximate but accurate solution

$$\rho_g(\mathbf{r}, z) = \frac{\Sigma_g}{\sqrt{2\pi} H_g^{sg}} \exp\left(-\frac{1}{2} \left(z/H_g^{sg}\right)^2\right)$$
where: $H_g^{sg} = \sqrt{2/\pi} H_g f(Q_g) \qquad f(x) = \frac{\pi}{4x} \left[\sqrt{1 + \frac{8x^2}{\pi}} - 1\right]$

All information about SG hidden in the modified scale height ! (Bertin & Lodato 1999)

Problems:

- 1. In all known vertical profiles, self-gravity of gas and dust treated separately. Is that realistic ?
- 2. Is dust mass always negligible compared to gas?
- Observed low quantity of dust mass in discs might not adequately account for the mass of discovered exoplanets
- 3. There is no smooth solution from light (Keplerian) to massive discs including dust and gas
- 4. What is the Toomre's parameter of gas/dust system?

Vertical hydrostatic equilibrium of self-gravitating gas and dust disc

(Dubrulle et al. 1995)

For lovers of Maths



Both equations reduced into a unique modified Liouville equation

 $\begin{cases} c_g^2 \partial_z \ln\left(\rho_g\right) &= -\Omega_K^2 z - 2\pi G\left(\sigma_g + \sigma_d\right) \\ + c_d^2 \partial_z \ln\left(\rho_d/\rho_g\right) &= -\Omega_K^2 z - 2\pi G\left(\sigma_g + \sigma_d\right) \end{cases}$

Vertical component star gravity Vertical component SG gas AND dust

Fortunately, we found 4 new exact solutions for gas AND dust !

And the **general** case ?

Approach of Bertin & Lodato 1999



"Biased" Gaussian stratification, where all **SG information is incorporated into a modified scale** height, which is naturally Toomre's parameter dependent.

We generalized their procedure to a self-gravitating gas/dust disc

And the **general** case ?

$$\rho_{g}(\mathbf{r},z) = \frac{\Sigma_{g}}{\sqrt{2\pi}H_{g}^{sg}} \exp\left[-\frac{1}{2}\left(z/H_{g}^{sg}\right)^{2}\right]$$
with: mass distributions
$$\rho_{g}(\mathbf{r},z) = \frac{\Sigma_{d}}{\sqrt{2\pi}H_{g}^{sg}} \exp\left[-\frac{1}{2}\left(z/H_{d}^{sg}\right)^{2}\right]$$
Generalized Toomre's
parameter for a system of
gas and dust
Dust is sustained in a
turbulent gaseous
environment
$$\xi = c_{g}/c_{d,mid}$$
with: mass distributions
$$H_{g}^{sg} = \sqrt{\frac{2}{\pi}}H_{g}f(\tilde{Q})$$

$$H_{d}^{sg} = \sqrt{\frac{2}{\pi}}H_{g}f(\tilde{Q})$$

$$\frac{\tilde{Q}}{\sqrt{\xi^{2}+1}}H_{d}f(\tilde{Q})$$

with

Both fluids experience an equal

gravitational influence from the

star and from their combined

Other implications ?



(Dubrulle et al. 1995; Weber et al. 2019)

Other implications ?

Observations show very thin dust layers, equivalent to $\alpha \sim 10^{-5}$ $- 10^{-4}$

Pinte (2016), Villenave et al. (2020, 2022)



Other explanation for thin dust layer and strong accretion: **WINDS:** remove angular momentum (= accretion) and don't add turbulence in the midplane (=settled dust)

Other implications ?

Baehr & Zhu 2021 showed thanks to simulations of gas and dust that discrepancy between thin dust layers and strong accretion can be explained with gravito-turbulence and that dust contribution to SG cannot be neglected. Model will help to understand finely the high settling of dust and strong accretion of gas.

I suspect that the vertical

- Schmidt number (anisotropy
- turbulence) depends on the
 - Generalized Toomre's

parameter

This needs to be checked thanks to Shearing BOX simulations ! (In process)

Soon: shearing box simulations with dust/gas SG and spectral (FFT) methods

Do I expect to find the theoretical stratification ?

Not really !

Everyone forgets about this term in full periodic SBOX

$$\Delta \Phi_g = 4\pi G \left(\rho - \bar{\rho}\right)$$

From a gravitational point of view:

- There is less mass in the box !
- In average the total mass is 0.

Self-gravity in thin protoplanetary discs:

1. The smoothing-length discarded by the exact 2D self-gravity kernel

S. Rendon Restrepo¹ *, T. Rometsch² **, O. Gressel¹, and U. Ziegler¹

Not yet in ArXiv but main results shown here



How is computed SG in 2D simulations ?



(Flock et al. 2017)

$$\begin{bmatrix}
\rho = \rho(r, \theta, z) \\
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<u>Thin disc (2D) approximation ($^{z}/_{r}$ < 1)</u>



Credit: NASA/JPL-Caltech/D. Watson

Quantities are vertically averaged and particularly SG forces:

$$f_{SG} = -\int \rho \nabla \Psi_{SG,3D} \, dz \quad \text{with} \quad \Psi_{SG,3D} = -\int_{disc} \frac{G \,\rho(\mathbf{r'})}{\sqrt{||\mathbf{r} - \mathbf{r'}||}} \, d^3\mathbf{r'}$$

In a Gaussian stratified disc, all SG contributions can be summarized in:

$$\boldsymbol{f}_{2D}^{a \to b}(\boldsymbol{r}) = -\Sigma_b \iint_{disc} \Sigma_a \operatorname{K}_{ab} \boldsymbol{e}_s \, d^2 \boldsymbol{r}'$$

where:

Is the self-gravity force kernel.

(Müller et al. 2012, Rendon Restrepo & Barge 2023)

Closed form of the integral = very difficult ! That's why it was approximated with a Plummer potential: $\delta \Psi_{Plumm}$ s^2 dz' $\epsilon \simeq 0.6 H_a$

We rediscovered and improved (Li et al. 2009):

$$\mathbf{K}_{ab} = \frac{1}{\sqrt{\pi}} \left(H_{ab}^{sg} \right)^{-2} \frac{d_{ab}}{8} \exp\left(\frac{d_{ab}^2}{8}\right) \left[K_1\left(\frac{d_{ab}^2}{8}\right) - K_0\left(\frac{d_{ab}^2}{8}\right) \right]$$

where:

height:

r.m.s scale
height:
$$H_{ab}^{sg} = \sqrt{\frac{H_a^{sg^2} + H_b^{sg^2}}{2}}$$

Solves symmetry problem (respects 3rd Newton's law)

$$d_{ab} = s/H_{ab}^{sg}$$

New

- **Bi-fluid** analysis
- Incorporates how the SG of both components affects their vertical density profile
- Compatible with FFT methods (not contemplated by Li et al. 2009)
- Transition from light to massive discs
- And respects Newtonian character of gravity !!!



Normalised self-gravity kernels with respect to distance

Normalised kernels associated with dust with respect to distance for different dust-to-gas scale heights, $\eta = \frac{H_d}{H_a}$



How to be sure it's the correct SG kernel for 2D?

- 2D numerical benchmarks in the limit of razor-thin discs with exact solutions
- 2D/3D dynamical benchmarks (in process)



What consequences for planet formation theories ?

Gravitational Instability (GI)

Disc cools down

Pressure decreases

Gravity overcomes pressure and tidal forces

Turbulence

. Spiral formation

+ (Fragmentation if fast cooling)

• Gravitoturbulence (GI): $1 < Q \lesssim 1.5$

$$Q = \frac{c_s \Omega}{\pi G \Sigma}$$

Depending on β (cooling) we get $\alpha \sim 0.01 - 0.1$

What consequences for planet formation theories ?

Gravitational Instability (GI)

Disc cools down

- Pressure decreases
 - Gravity overcomes pressure and tidal forces

Turbulence + Spiral formation +

(Fragmentation if fast cooling)

HR simulations with FargoCPT

(Rometsch et al. 2024)

- $(N_r, N_{\theta}) = (1400, 4800) (\text{Resolve } Q_g H_g)$
- *r* ∈ [20, 250] AU
- Müller et al. 2012 and Bessel kernel
- β -cooling = [2, 8] (Gammie 2001)
- Disc cools to 0 K
- No indirect term

 $\beta = 2 \implies$ fragmentation expected

10³

- 10²

- 10¹

- 100

10-1



$\beta = 8 \implies$ gravito-turbulence (no fragmentation expected)



Bessel kernel

- Fragmentation occurs at lower Q_g values: consistent with the fact that gravity is « diluted » vertically (Kim et al. 2002; Wang et al. 2010; Baehr et al. 2017)
- This kernel may solve many problems related to fragmentation (in process) :
- 1. Estimation of the β_{critic}
- 2. Numerical convergence problems encountered in 2D (Young & Clarke 2015)
- 3. Is fragmentation stochastic ? (Paardekooper 2012)

• Are the formed clumps still to massive ? (GI usually forms brown dwarfs)

Bessel kernel

- We need to update the dispersion relation of fragmentation of discs with the Bessel kernel (But expect the threshold to be slightly smaller than standard value)
- Really suspect that the 2D Poisson's equation in 2D is simply wrong and leads to an overestimation of SG !
- When SL=0, overestimation of SG !

In collaboration with Thomas Rometsch, Oliver Gressel and Udo Ziegler



Initial problem: How corrections affect the vortex scenario ?

 $St \approx 0.5$, r= 50 AU, $\eta = \frac{H_g}{H_d} = 50$, Z=0.1 (high on purpose), resolution: H/30

Initial state: Gaussian vortex + uniform dust distribution





Final state



180° 225° 135° 270° 90° 45° 315° $M_{\text{clump},gas} \sim 0.08 M_I$ 0°

- Gas and dust in horseshoe motion
- Lindblad resonances ?
- Migration ?

To take with a grain of salt (old simulations):

- No dust back-reaction
- No dust diffusion

Take-home messages

- Grav. Collapse inside vortices may be possible thanks to the correct SG prescription !!!
- Vertical stratification of a self-gravitating protoplanetary disc made of gas and dust.
- Correct definition of the Toomre's parameter of a bi-fluid system
- Analytical kernel for SG in 2D simulations: seems to correct all issues inherent to a Plummer potential: symmetry, underestimation /overestimation of SG at short distances, accounts for self-consistent stratification of gas and dust.

Take-home messages

1

• It will be difficult to convince astrophysicists that the simplistic 2D Plummer potential:

$$\delta \Psi_{Plumm} = \frac{1}{s^2 + \epsilon^2} \qquad \qquad s = \|\mathbf{r} - \mathbf{r}'\|$$

Should be replaced by:

$$K_{ab} = \frac{1}{\sqrt{\pi}} \left(H_{ab}^{sg} \right)^{-2} \frac{d_{ab}}{8} \exp\left(\frac{d_{ab}^2}{8}\right) \left[K_1 \left(\frac{d_{ab}^2}{8}\right) - K_0 \left(\frac{d_{ab}^2}{8}\right) \right]$$

Solution: $\epsilon^2 = \frac{1}{\frac{1}{\sqrt{\pi}} \left(H_{ab}^{sg}\right)^{-2} \frac{d_{ab}}{8} \exp\left(\frac{d_{ab}^2}{8}\right) \left[K_1 \left(\frac{d_{ab}^2}{8}\right) - K_0 \left(\frac{d_{ab}^2}{8}\right) \right]} - s^2$

Perspectives

Finish the three papers



- Use the kernel in an Astrophysical context of massive discs (Elias) 2-27, IM Lupi and GM Aur)
- Discs with a thin and massive dust layers are the most unstables and the instability is dust driven. Formation of terrestrial planets (Longarini et al. 2023). Our prescription is perfect for probing this statement thanks to 2D global simulations.
- Baehr & Zhu 2021 found Vertical Schmidt numbers of ~ 200. Can we find higher values with more massive dust discs?





EPOCH OF TAURUS

Merci pour votre attention Thank you for your attention Danke für Ihre Aufmerksamkeit

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"... Les moyens par lesqueles les hommes arrivent à la connaissance des choses célestes sont à peine moins merveilleux que la nature de ces choses elles-mêmes", Johannes Kepler

How vortices form ?

Example: Rossby Wave Instability (Annular ring is unstable) (Lovelace et al., 1999)



3D simulation performed in Jean Zay cluster with *RoSSBi3D*

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Assumptions: Dust embedded in turbulent gaseous environement

If dust SG ignored + gas Gaussian

1

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$$\rho_g = \frac{\Sigma}{\sqrt{2\pi}H_g} \exp\left[-\frac{1}{2}\left(z/H_g\right)^2\right]$$
$$\rho_d = \rho_{d,\text{mid}} \exp\left[-\frac{(\Omega\tau_s)_{\text{mid}}}{\tilde{D}}\left(\exp\left(\frac{Z^2}{2H^2}\right) - 1\right) - \frac{Z^2}{2H^2}\right]$$

If dust disc very massive

$$\rho_d = \frac{\Sigma_d}{2Q_d H_d} \mathrm{sech}^2 \left(\frac{z}{Q_d H_d}\right)$$

(Klahr & Schreiber 2020, 2021)

(Fromang & Nelson 2009)

Here dust treated separately from gas !

<u>Massive gas disc and</u> <u>constant stopping time with</u> <u>vertical profile</u>

$$\begin{aligned}
\rho_g(r,z) &= \frac{\Sigma_g}{2Q_g H_g} \operatorname{sech}^2 \left(\frac{z}{Q_g H_g} \right) \\
\rho_d(r,z) &= \frac{\Sigma_d}{2Q_g I_1(\xi^2) H_g} \operatorname{sech}^2 \left(\frac{z}{Q_g H_g} \right) \\
&\qquad \operatorname{exp} \left[-\xi^2 \cosh^2 \left(\frac{z}{Q_g H_g} \right) \right]
\end{aligned}$$

where:

$$I_1(\xi^2) = \frac{\xi^2}{2} \exp\left(-\frac{\xi^2}{2}\right) \left[K_1\left(\frac{\xi^2}{2}\right) - K_0\left(\frac{\xi^2}{2}\right)\right]$$

Does it remind you something ?

This is the stratification found by Fromang & Nelson 2009 when the disc of gas is massive.

Not very practical for infering parameters from discs.

Particularly, the scale height of dust is not obvious...